



OSOCA

Sanction Catalogue

Section 4

DOC/TEMPLATE NO. 05/25

SANCTION CATALOGUE

SL NO	NATURE OF NON COMPLIANCE	PRESCRIBED SANCTION	NPOP CLAUSE	MAJOR/MINOR
1	Use of unapproved off farm input	The produce is liable for rejection/Reduction of organic status	CH-3 APNDX-1	Major
2	Use of prohibited agro inputs like urea, DAP, chemical weedicide etc.	All the farmers in the group are for liable for rejection, if they remain in the group shall have to undergo full conversion again.	CH-3 APNDX-1	Major
3	Presence of garbage and plastic pouch in the registered plot	Issue warning letter	CH-3 APNDX-1	Minor
4	No documentary evidence available whether the off farm seeds used by farmers is organic or untreated or non-GM	Issue warning letter. Disciplinary action.	CH-3 APNDX-1	Major
5	Use of phenyl mixed with sand for insect pest management, use of herbicide on the bunds adjacent to registered plot	The registered area of farmers is liable for rejection	CH-3 APNDX-1	Major
6	Parallel production practised by farmers	Produce of such farmer liable for rejection	CH-3 APNDX-1	Major
7	Use of chemically treated seed in the current cropping season	Registered area liable for rejection. The group shall undergo full conversion.	CH-3 APNDX-1	Major
8	Presence of empty plastic pouch of chemical pesticide in the registered plot	Particular farmers field shall undergo residue analysis test, Issue warning letter to operator	CH-3 APNDX-1	Major
9	Buffer zone not maintained	Such areas shall be treated as conventional/Reduction of organic status	CH-3 APNDX-1	Major
10	Contamination through human excreta, sewerage in the plot registered for organic	Registered area liable for rejection	CH-3 APNDX-1	Major
11	Heavy rain has caused flow of water from one plot to another	Such area shall undergo residue analysis test	CH-3 APNDX-1	Major
12	Approval and sanction committee report not available	Issue warning letter	CH-5	Major
13	No documentary evidence available regarding the	Denial of certification till submission of document	CH-5	Major

APPROVED23/09/24
CHIEF EXECUTIVE OFFICER
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	evaluation of internal inspectors by Quality Manager			
14	Use of Aluminium Phosphide during storage	Produce of such farmers treated as conventional	CH-3 APNDX-1	Major
15	There is no adequate system for the traceability of the commodity back to the farmer level	No transaction shall be issued	CH-5	Major
16	Internal inspection not carried out properly	Denial of certification till submission of corrective action.	CH-5	Major
17	Internal inspection reports not available for some farmers	Denial of certification till submission of proper documents.	CH-5	Major
18	Farmers and field staff lack knowledge about organic farming practices and specific standards	Issue warning letter	CH-5	Minor
19	Name, signature, inspection date missing in some inspection report of internal inspector	Issue warning letter/ Denial of certification till submission of corrective action	CH-5	Major
20	Duplicity in the farmers registered with other groups	Expulsion of duplicate farmer	CH-5	Major
21	Storage condition inadequately managed without proper demarcation between organic and conventional produce	Co-mingling of organic and conventional produce liable for rejection. Produce treated as conventional.	CH-5	Major
22	Records of cleaning reused bags, store cleaning records not available	Issue warning letter	CH-5	Minor
23	Due to lack of technical knowhow locally available resources are not being used by farmers to the full extent	Issue warning letter	CH-5	Minor
24	Agreement between farmers and ICS not updated	Disciplinary action. Submission of proper document as corrective action.	CH-5	Minor
25	No record of sharing of implements	Issue warning letter	CH-5	Minor
26	No documentary evidence regarding circulation of internal standards to registered farmer	Issue warning letter	CH-5	Minor
27	ICS manual available but not in local language	Issue warning letter	CH-5	Minor
28	Seed distribution details to farmers	Disciplinary action. Submission of proper	CH-5	Minor

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Rev no 03 Date 16.09.2024	Sambit Panigrahi	ISSUED BY QM, OSOCA



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		document as corrective action.		
29	Farm maps not available, incomplete farm map	Issue warning letter	CH-5	Minor
30	Discrepancy in area and crops	Denial of certification till submission of original land record.	CH-5	Major
31	Incomplete records at farmers level	Disciplinary action/Issue warning letter	CH-5	Minor
32	Updated organisational chart not available in ICS office	Issue warning letter	CH-5	Minor
33	Internal inspection report not approved	Denial of certification till submission of proper Approval documents of Internal Inspection.	CH-5	Major
34	Split production not mentioned in the internal inspection report	Updation of internal inspection report	CH-5	Minor
35	Complaint logbook not maintained	Disciplinary action	CH-5	Minor
36	Internal standards does not define production units, how to deal with part conversion and livestock management	Updation of internal standard and shall submit as corrective action.	CH-5	Minor
37	No current training records available	Issue warning letter	CH-5	Minor
38	Internal inspector has not taken account of use of off farm inputs and livestock management	Denial of certification till submission of documentary proof.	CH-5	Minor
39	Staff training register not updated	Disciplinary action	CH-5	Minor
40	Internal inspector's knowledge about applicable standards not updated	Disciplinary action	CH-5	Minor
41	Competency level of internal inspector not adequate	Disciplinary action /Denial of certification	CH-5	Minor
42	List of reverted/rejected farmers not documented	Issue warning letter	CH-5	Minor
43	Farmers code generated by tracenet not entered in farm records	Issue warning letter. Updation of record	CH-5	Minor
44	ICS not obliging farmers for forwarding of NOC request to shift ICS	Disciplinary action	CH-5	Minor
45	Risk assessment not done	Denial of certification	CH-5	Major
46	Soil erosion management not followed	Issue warning letter	CH-5	Minor
47	Buying policy not clearly mentioned in the ICS manual	Disciplinary action	CH-5	Major

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48	Farm animal not available	Issue warning letter	CH-3 APNDX-1	Minor
49	Nutrient management not adequate	Disciplinary action	CH-3 APNDX-1	Minor
50	Discrepancy in crop Yield records	Denial of certification till updated in tracenet	CH-5	Major
51	Provide false information concerning changes to the operation which effect the operation's Organic status, falsification of documentation, selling non - organic crops or products as organic.	Termination or denial of certification or strict disciplinary action will be based on the severity of incidence	CH-3 APNDX-1	Major
52	In case the NC raised by last Certifier is Major & no Corrective action will be taken	Denial of certification till submission of document.	CH-3 APNDX-1	Major
53	Operator is wilfully/purposefully violating the requirements of standards, or if they fail to correct existing major noncompliance issues	Termination or denial of certification or disciplinary action.	CH-3 APNDX-1	Major
54	Laboratory Report detected unapproved residue	Termination of Particular farmer from the group. Issue a warning letter to operator	CH-3 APNDX-1	Major
55	Repetition of Minor Non conformities	Disciplinary action. Upgradation of minor Non conformity to Major NC.	CH-3 APNDX-1	Major
56	Intentional relabelling of downgraded products as organic	Termination of Certification	CH-6	Major
57	Intentional mixing of organic with in conversion or non-organic products	Termination of Certification	CH-3 APNDX-1	Major
58	Refusal of access in critical noncompliance	Termination of Certification	CH-3 APNDX-1	Major
59	Non-Existence of ICS office as per office address recorded	Denial of certification		Major
60	Non-availability of proper document like AFL, Scope certificate etc. and office board in the ICS office	Disciplinary action.		Major



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61	Unavailability of legal document, PAN etc.	Issue warning letter		Minor
62	Improper geotagging of the ICS office location	Issue warning letter		Minor

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